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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 500.34077CC3

First Inventor Atsushi MAKI et al.

Title Optical System For Measuring Metabolism

Express Mail Label No. C99-900144

07/09/01

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 88]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Verf'd Dec. w/Eng. X-latn  
Drawing(s) (35 U.S.C. 113) [Total Sheets 21]
5. Oath or Declaration [Total Pages 02]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
    - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of (when there is an assignee)  Attorney
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)
16.  Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Letter to Official Drafts.....  
Other: person and Form PTO-2038..

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation  Divisional  Continuation-in-part (CIP)

of prior application No. 09 / 203,610

Prior application information.

Examiner E. Mantis Mercader

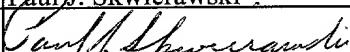
Group Art Unit: 3737

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

~~The incorporation by reference made by a continuation or divisional application shall not be subject to a search and examination for itself.~~

## 19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	020457 (Insert Customer No. or Attach bar code label here)		<input type="checkbox"/> Correspondence address below
Name	ANTONELLI, TERRY, STOUT & KRAUS, LLP		
Address	1300 North Seventeenth Street Suite 1800		
City	Arlington	State	Virginia
Country	USA	Telephone	703-312-6600
Fax	703-312-6666		

Name (Print/Type)	Paul J. Skwierawski	Registration No. (Attorney/Agent)	32,173
Signature			Date 9 July 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$ 710

Complete if Known	
Application Number	Unassigned
Filing Date	9 July 2001
First Named Inventor	Atsushi MAKI et al.
Examiner Name	Unassigned (Parent-E. Mantis Mercader)
Group / Art Unit	Unassigned (Parent-3737)
Attorney Docket No.	500.34077CC3

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number

01-2135 (Order No. 500.34077CC3)

Deposit Account Name

ANTONELLI, TERRY, STOUT &amp; KRAUS, LLP

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
 Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	710
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$ 710)

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims		Fee from below	Fee Paid
	Independent Claims	Multiple Dependent		
	19	-20	= 0	X 18 = 0
Independent Claims	1	-3	= 0	X 0 = 0
Multiple Dependent	0		X 0	= 0

SUBTOTAL (2) (\$ 0)

FEE CALCULATION (continued)						
3. ADDITIONAL FEES	Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	65	Surcharge - late filing fee or oath	
127	50	227	25	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	130	Non-English specification	
147	2,520	147	2,520	2,520	For filing a request for reexamination	
112	920*	112	920*	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	55	Extension for reply within first month	
116	390	216	195	195	Extension for reply within second month	
117	890	217	445	445	Extension for reply within third month	
118	1,390	218	695	695	Extension for reply within fourth month	
128	1,890	228	945	945	Extension for reply within fifth month	
119	310	219	155	155	Notice of Appeal	
120	310	220	155	155	Filing a brief in support of an appeal	
121	270	221	135	135	Request for oral hearing	
138	1,510	138	1,510	1,510	Petition to institute a public use proceeding	
140	110	240	55	55	Petition to revive – unavoidable	
141	1,240	241	620	620	Petition to revive – unintentional	
142	1,240	242	620	620	Utility issue fee (or reissue)	
143	440	243	220	220	Design issue fee	
144	600	244	300	300	Plant issue fee	
122	130	122	130	130	Petitions to the Commissioner	
123	130	123	130	130	Petitions related to provisional applications	
126	180	126	180	180	Submission of Information Disclosure Stmt	
581	40	581	40	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	355	Request for Continued Examination (RCE)	
169	900	169	900	900	Request for expedited examination of a design application	
Other fee (specify) _____						

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 0)

\*\*or number previously paid, if greater; For Reissues, see above

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Paul J. Skwierawski	Registration No. Attorney/Agent)	32,173	Telephone	703-312-6636 (Direct Dial)
Signature				Date	9 July 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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